

Exploring Tribal Public Health Infrastructure and Capacity Webinar Series

Tribal Public Health Authority and Governance, and Exercising Sovereignty

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National Indian Health Board

Mission Statement:

Established by the Tribes to advocate as the united voice of federally recognized American Indian and Alaska Native Tribes, NIHB seeks to reinforce Tribal sovereignty, strengthen Tribal health systems, secure resources, and build capacity to achieve the highest level of health and well-being for our People.



Meet the PHICCS team!



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Program Coordinator



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Deputy Director



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Survey Design Consultant
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Where are you joining from?



TRIBAL PUBLIC HEALTH AUTHORITY AND GOVERNANCE

Tribal Public Health

- Tribes have an inherent right to promote and protect the health and welfare of their citizens, using the methods most relevant for their communities.
- Public health systems that are managed by Tribes for Tribes
- Highly varied across Tribes/organizations
- "Community health"



Players in Tribal Public Health

National Urban Indian State and Indian Tribes local health Organizations departments (e.g. NIHB) Regional Private/Nonp Federal

rofit organizations

Government (e.g. IHS)

Indian **Organizations** (e.g. AIHBs)

Health

Programs

Community Infrastructure vs. Public Health Infrastructure

Community Infrastructure

- the basic physical and organizational structures and facilities needed for the operation of a society or enterprise. (for a society to function)
- Examples of modern infrastructure: transportation systems, communication networ ks, sewage, water, and power systems.

Public health infrastructure

- the basic physical and organizational structures and facilities needed for the operation of a public health system (for creating conditions in which people can be healthy)
- Examples: workforce, authority, communication networks, partnerships



What is a Public Health Authority?

CDC Definition: A public health authority is broadly defined as including agencies or authorities of the United States, states, territories, political subdivisions of states or territories, **American Indian tribes**, or an individual or entity acting under a grant of

authority from such agencies and responsible for public health methods.

official mandate. Public health authorities include:

• Federal public health agencies (e.g., CDC, IHS, HRSA, etc.)

- Tribal health agencies
- State public health agencies
- Local public health agencies

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HIPAA Privacy Rule and Public Health

Guidance from CDC and the U.S. Department of Health and Human Services

MMWR, Volume 52, Early Release

The Privacy Rule and Public Health

The Privacy Rule recognizes 1) the legitimate need for public health authorities and others responsible for ensuring the public's health and safety to have access to PHI to conduct their missions; and 2) the importance of public health reporting by covered entities to identify threats to the public and individuals. Accordingly, the rule 1) permits PHI disclosures without a written patient authorization for specified public health purposes to public health authorities legally authorized to collect and receive the information for such purposes, and 2) permits disclosures that are required by state and local public health or other laws. However, because the Privacy Rule affects the traditional ways PHI is used and exchanged among covered entities (e.g., doctors, hospitals, and health insurers), it can affect public health practice and research in multiple ways. To prevent misconceptions, understanding the Privacy Rule is important for public health practice. Some illustrative examples are presented in this report (Box 4). Also provided are sample letters that might prove useful in clarifying relationships involving public health and the Privacy Rule (Appendix B).

- Contents
- Summary
- Introduction
- Overview of the Privacy Rule
- The Privacy Rule and Public Health
- The Privacy Rule and Public Health Research
- The Privacy Rule and Other Laws
- Online Resources
- Acknowledg
 References
- Appendix A
- Appendix B
- Privacy Rule Home





What is a Public Health Authority – Continued

- As sovereign governments, Tribal Nations are <u>inherent</u> public health authorities
 - Under Section 214 of the Indian Health Care Improvement Act, Tribal Epidemiology Centers (TECs) gained designation as public health authorities.
- Tribal Nations and TECs have the authority to access personal health information (PHI) for public health purposes





LEGAL BASIS FOR TRIBAL PUBLIC HEALTH AND HEALTH SYSTEMS

Tribal Public Health Law

Inherent Public Health Authority

Federal Indian Law

Tribal Law





Tribal Nations' Inherent Public Health Authority

- Protecting the public's health, safety, and welfare is among the core powers and duties of sovereign governments, including Tribes.
- No law, federal or Tribal, is needed to grant Tribes the authority to engage in public health activities.





Federal Indian Law

FEDERAL INDIAN LAW

 The body of law that defines the rights, relationships, and responsibilities between Tribes, states, and the federal government.

TRIBAL SOVEREIGNTY

 Tribe's "right ... to make their own laws and be ruled by them." It is a "plenary and exclusive power over their members and their territory" and includes governmental power to tax and regulate, among other authorities. U.S. Constitution

Treaties

Supreme Court Decisions

Federal
Legislation &
Regulations

Presidential Executive Orders



Principals of Federal Indian Law

Federal Plenary Power

 Congress maintains authority to legislate on all matters concerning Tribes and Indians.

Tribes & States

State laws cannot infringe on Tribal sovereignty

Federal Trust Responsibility

- The federal government has a duty to provide health services to the Tribes.
- The provision of services such as health care was included in treaties that Tribes signed with the United States in exchange for giving up their lands.





"[I]t is the policy of this Nation, in fulfillment of its special trust responsibilities and legal obligations to Indians --[] to ensure the highest possible health status for Indians and urban Indians and to provide all resources necessary to effect that policy[.]" – 25 U.S.C 1602



Statutes & Regulations

Snyder Act (1921)

Authorized Tribal-specific health care funding

Transfer Act (1954)

- The Indian health program became a responsibility of the Public Health Service
- Recognized Tribal Sovereignty

Indian Self-Determination and Education Assistance Act (1975)

- Basis for authorizing Tribes to assume the management of BIA and IHS programs through Title V Compact and Title I Contract
- Over half of IHS's budget is controlled by Tribes under this Act



Indian Health Care Improvement Act (1976)

Permanently reauthorized in the Affordable Care Act (2012)

- Strengthened the position and regulatory structure of the Indian health system
 - Established Urban Indian Health Programs
 - Permitted reimbursement of IHS/Tribal facilities by Medicare & Medicaid

1992 Amendments to IHCIA

 Authorized the establishment of Tribal epidemiology centers (TECs) to serve Tribes across each Indian Health Service region throughout the United States



Tribal Law & Public Health

- As sovereign nations, Tribes have the authority to maintain governing structures and develop a legal system reflective of each Tribe's unique history, culture, and customs
- Tribal law can be used as a tool to protect this authority from infringement, particularly from state and local governments





Summary

- Tribes are inherent public health authorities as sovereign nations
- The United States has a responsibility to provide health care to Tribes
- Compacting and contracting (via PL 638) enable Tribes to control health care
- Tribal law can be used as a tool to exercise Tribal public health authority and protect this authority from infringement





PHICCS: INFORMATION FOR TRIBAL PUBLIC HEALTH

What is "PHICCS"

- Public Health in Indian Country Capacity Scan
- Periodic scan to assess Tribal public health infrastructure and capacity needs and priorities
- Informed by Tribes
- National scope



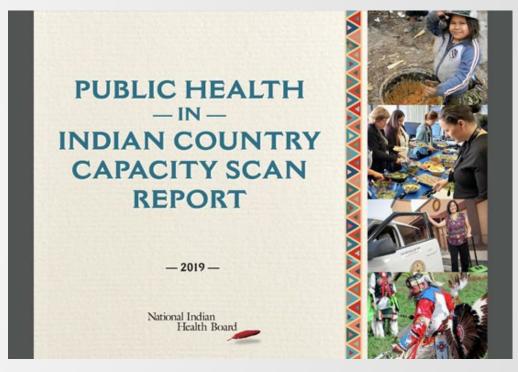


PHICCS I Respondents

- 134 respondents
- All 12 IHS service Areas represented
- Compared to IHS's lists of direct service and selfgovernance Tribes:
 - 52% are self-governance compacting
 - 48% are self-determination contracting
- 90% respondents are federally-recognized Tribes
 - 9% represent Tribal Health consortia

Public Health in Indian Country Capacity Scan (PHICCS) Report

- Culminating in 2019 PHICCS Final Report
 - ➤ Support and guide essential public health work in Indian Country
 - Strengthen efforts to educate Legislators, federal agencies, private foundations, and policy makers on needs for building the capacity of Tribal public health





^{*}Funded by Centers for Disease Control and Prevention (CDC)* (CDC OT18-1802, #NU38OT000302)

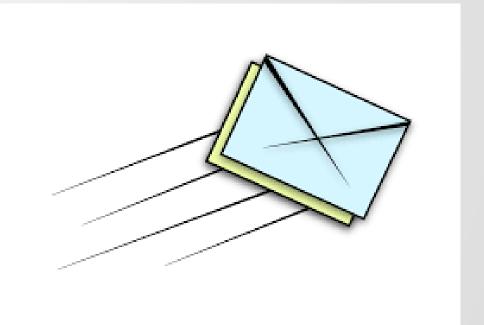
Tribes Own their Data!

- NIHB wants to return your individual data
 - By request from the authorized official
 - Returned via encrypted email

To request your own data, contact:
 Nina Martin

nmartin@nihb.org

202-548-7299

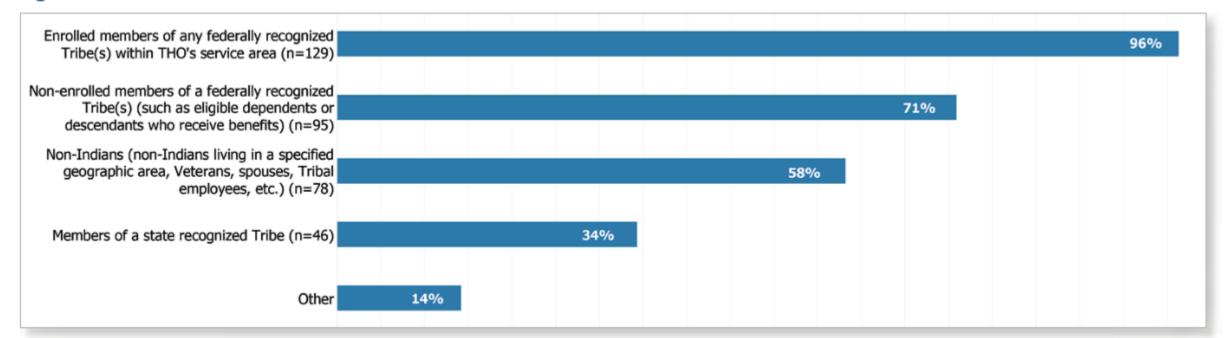




PHICCS I: THO 101

 Tribal health organizations (THOs) responsible for a range of services to variety of individuals

Figure 3 TYPE OF INDIVIDUALS RECEIVING PUBLIC HEALTH SERVICES FROM THOS (N=134)



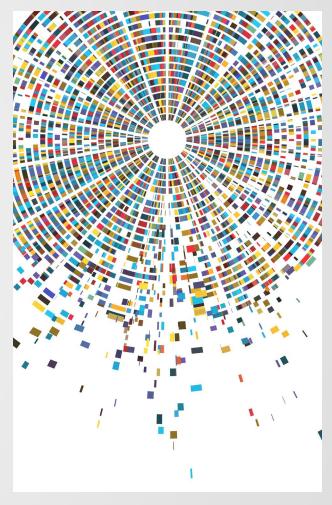
Source: 2019 PHICCS Report

(https://www.nihb.org/docs/11182020/369439_NIHB%20PHICCS%20Report%20Update_Nov17_Compliant.pdf)



PHICCS I: THO 101

- Service delivery may be affected by location
 - 18% (n=23) have service areas that overlap 2+ states
- Majority of THOs reported 1 or more non-Tribal PH departments in service area (85%, n=112)

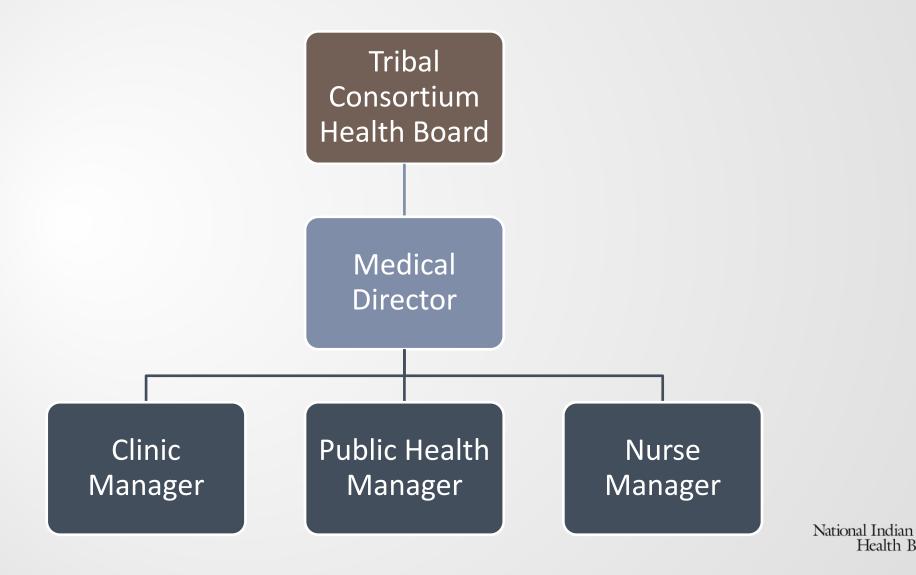




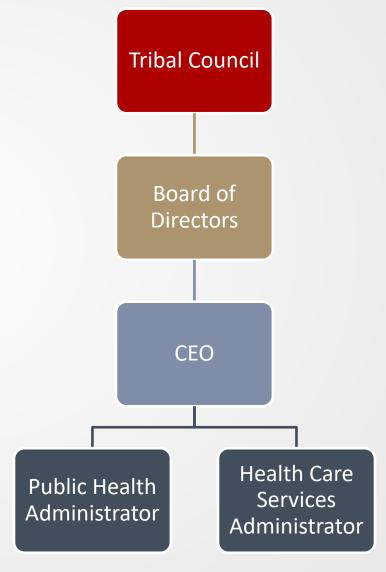
PH Governance Structures

- Describe how the entities responsible for public health matters relate
- Important for Tribal service delivery
 - Level of Tribal and non-Tribal systems providing
 PH services often complex and overlapping

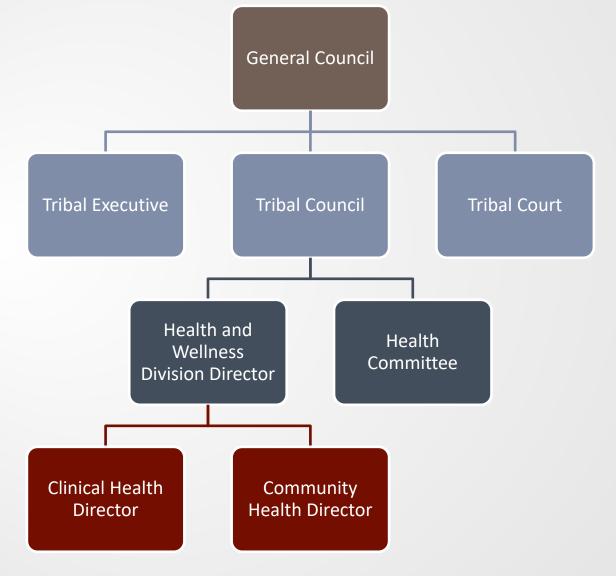




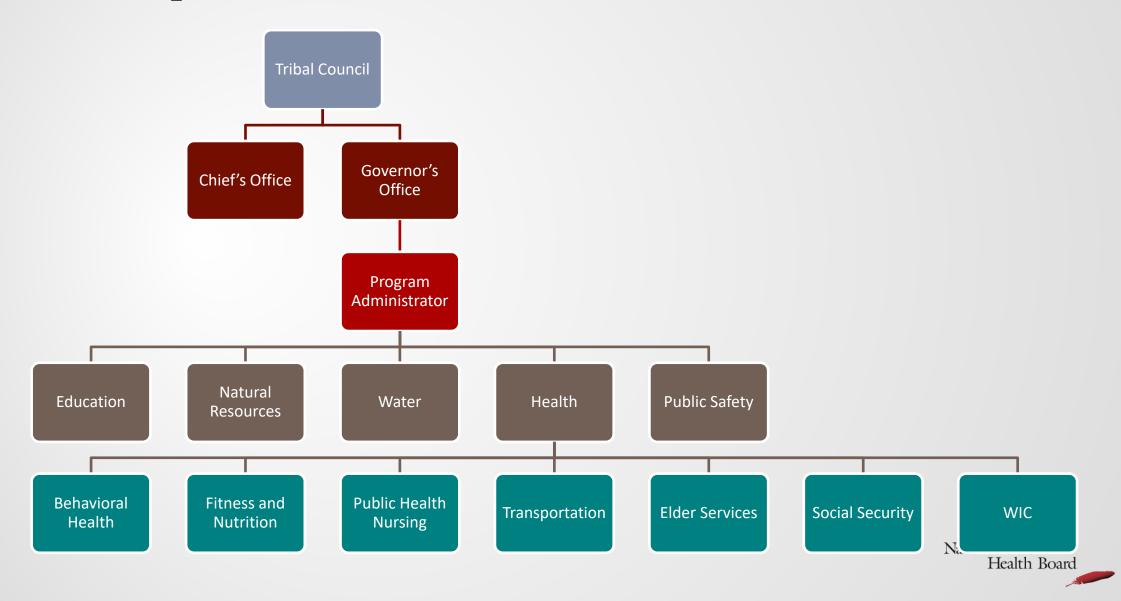
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Discussion break!

- 5 minutes
- Use the "Annotate" or Chat features
- Will not be recorded
- Advantages of different governance structures/models



Figure 6 NUMBER OF PUBLIC HEALTH GOVERNANCE STRUCTURES (N=123)

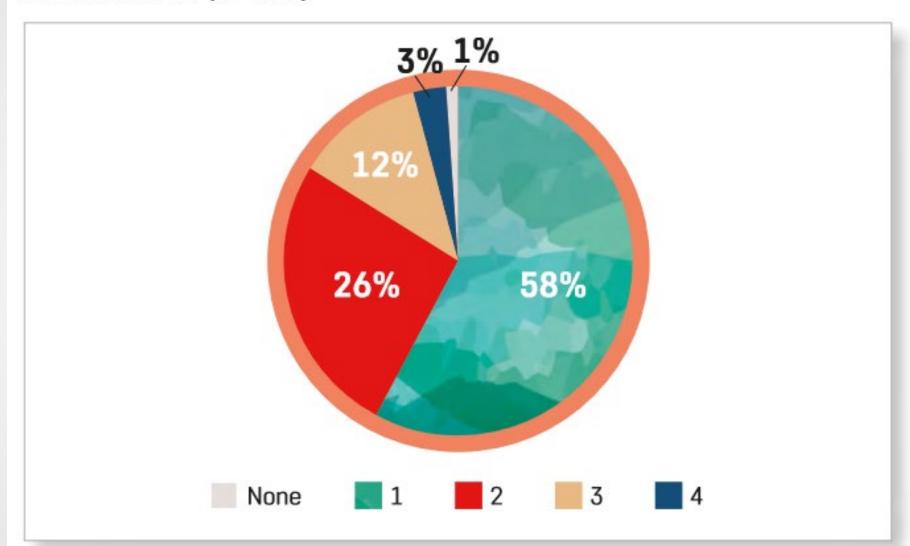


Table 3 PUBLIC HEALTH GOVERNING ENTITIES BY GOVERNANCE STRUCTURE

Governing Entity	THOs with Single Governance Structure (n=71)		THOs Overall (n=123)	
	N	%	N	%
Board of Health/Health Board	11	15%	45	37%
Board of Directors	12	17%	24	20%
Tribal Chief Executive Officer (CEO)/Tribal Chair	10	14%	45	37%
Tribal governance organization (such as consortium)	17	24%	34	28%
Tribal Council	10	14%	27	22%
Other	11	15%	21	17%
We do not have a governing entity	N/A	N/A	1	1%

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STRENGTHENING TRIBAL PUBLIC HEALTH AUTHORITY AND GOVERNANCE

Expansion of ISDEAA

- Currently IHS is only agency in HHS with authority to compact and contract
- Tribes and Tribal organizations have called for expansion of self-determination and selfgovernance authority
 - NIHB 2021 Legislative and Policy Agenda
 - Resolutions submitted to Self-Governance
 Communication and Education Tribal Consortium



Supporting Tribal Programs through Funding

- Tribes have also called for non-competitive funding and set-asides
 - NIHB Board Resolution 20-05
- Direct funding with flexible restrictions places control in hands of Tribes



TPHI Conversations at CDC TAC

- CDC Tribal Advisory Committee has advocated for Tribal-led infrastructure at recent TAC meetings
 - Aug 2021: Secretary Bradley urged CDC to share a position paper recognizing Tribal public health authority,
 - Feb 2022: Tribal leaders encouraged CDC to establish a dedicated assessment to address Tribal infrastructure priorities
 - Requested direct funding for Tribes for TPHI, in addition to TECs and other orgs



Why Governance?

- Tribal public health governance underpins all public health services
 - Controls the laws, codes, policies, and regulations
- Tribal public health authority and resulting structures are expressions of Tribal sovereignty



Questions?



Questions

 What has helped you grow Tribe exercise their public health authority? What would you like to see to support it?



Now is The Time to Create a Comprehensive, Tribally-Driven, National Tribal Public Health Strategic Plan for Infrastructure Development

Stacy A. Bohlen, 2020 National Tribal Health Conference Closing
Plenary
National Indian
Health Board





Public Health in Indian Country Capacity Scan





5 Topic Areas:

- COVID-19 and Vaccines
- Tribal Public Health Infrastructure, Policy and Systems
- Climate Change and Environmental Health
- Health Promotion and Disease Prevention
- Mental and Behavioral Health

Submit Abstracts here!

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Thank you!

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